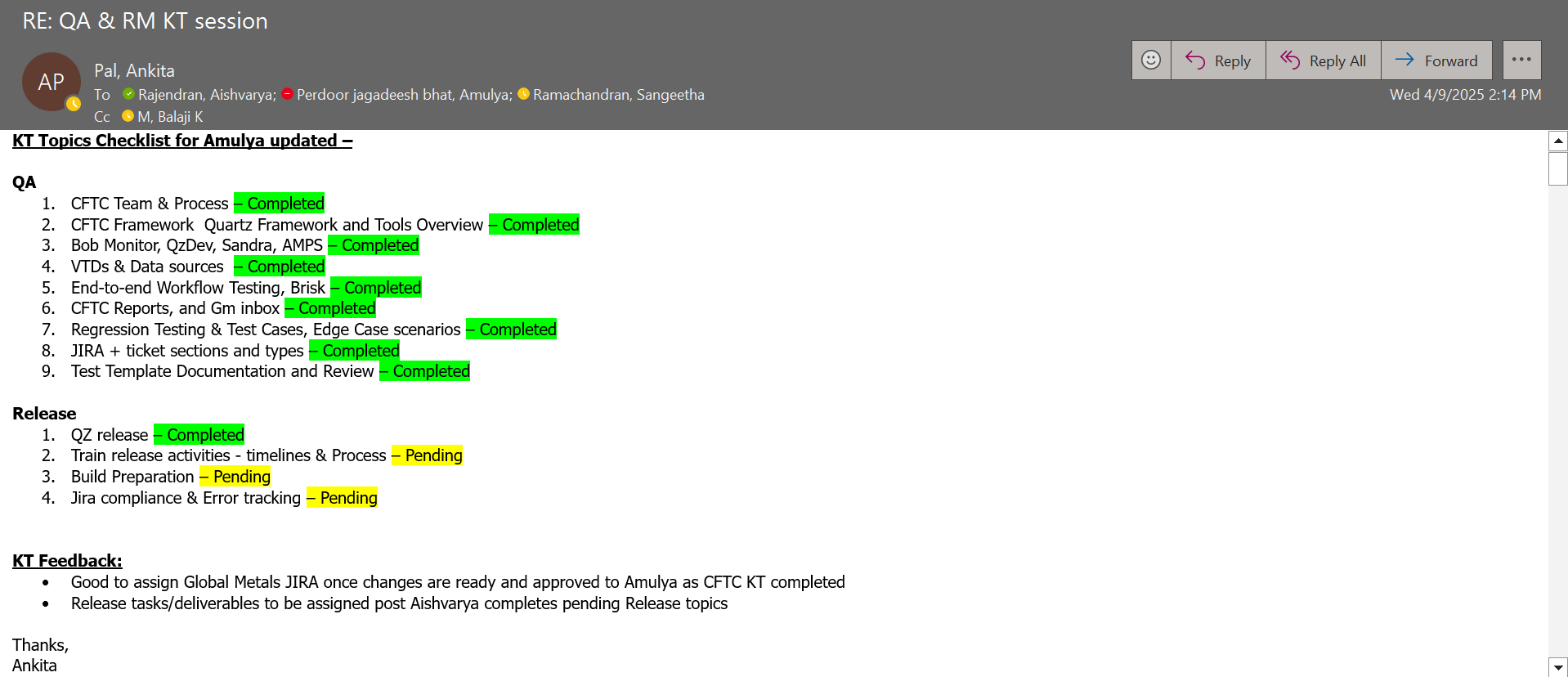
**CFTC Team Training for Jan – Jun 2025**

Ankita Pal





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**From:** Pal, Ankita   
**Sent:** Wednesday, April 9, 2025 2:09 PM  
**To:** Rajendran, Aishvarya <[aishvarya.rajendran@bofa.com](mailto:aishvarya.rajendran@bofa.com)>; Perdoor jagadeesh bhat, Amulya <[amulya.perdoorjagadeeshbhat@bofa.com](mailto:amulya.perdoorjagadeeshbhat@bofa.com)>; Ramachandran, Sangeetha <[sramachandran3@bofa.com](mailto:sramachandran3@bofa.com)>  
**Cc:** M, Balaji K <[balaji\_k.m@bofa.com](mailto:balaji_k.m@bofa.com)>  
**Subject:** RE: QA & RM KT session

Final KT summary for yesterday –

**Session 11:** CFTC Reports and Alerts explained

- CFTC Exposure Intraday Summary xls (Upload Report) [CFTC Limits Monitoring EOD Report for : <COB>]

- EOD Summary of Limits [CFTC Limits Monitoring EOD Report for : <COB>]

- Missing Limits [CFTC Limits Monitoring EOD Report for : <COB>]

- MissingExposures Report

- (PROD) EOD CFTC Report for <Volcker Business Area> <COB>

- Control Report for CFTC Intraday (all env – UAT/PROD/DEV)

- Monthly report for Summary of Limits (via script/bob job)

- GES Report

- QnA

<< File: CFTC Reports.docx >>

Thanks,

Ankita

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**From:** Pal, Ankita   
**Sent:** Monday, April 7, 2025 2:12 PM  
**To:** Rajendran, Aishvarya <[aishvarya.rajendran@bofa.com](mailto:aishvarya.rajendran@bofa.com)>; Perdoor jagadeesh bhat, Amulya <[amulya.perdoorjagadeeshbhat@bofa.com](mailto:amulya.perdoorjagadeeshbhat@bofa.com)>; Ramachandran, Sangeetha <[sramachandran3@bofa.com](mailto:sramachandran3@bofa.com)>  
**Cc:** M, Balaji K <[balaji\_k.m@bofa.com](mailto:balaji_k.m@bofa.com)>  
**Subject:** RE: QA & RM KT session

KT Summary for today –

**Session 10**

1. Regression Scope for CFTC for UAT and PROD
2. Pre-release and post-release validations
3. QA timelines and setting expectations
4. Queries on Brisk RRA tool
5. QnA

PFA regression testing doc for reference –

<< File: March 21st \_post validation.docx >>

Listing out scope for regression for point 2 above –

|  |  |  |  |
| --- | --- | --- | --- |
| Every Wednesday or Thursday |  |  |  |
|  | Sno | Scenario | Environment |
|  | 1 | All UAT jobs are running without failures Any failures should be known issues and informed to Dev and not due to code changes present in UAT staging area | UAT |
|  | 2 | Upload LMS report feeds data to LMS and validate the data in attachments | UAT |
|  | 3 | EOD summary reports are generated for all Business Areas | UAT |
|  | 4 | Control report 1-shows the monitoring of Limits data | UAT |
|  | 5 | Rates EOD report are generated for all 3 snaps of day | UAT |
|  | 6 | GES report is working as expected | UAT |
|  | 7 | CIRT drill down is opening for random desks | UAT |
|  | 8 | 1-2 rounds of workflow and breach acknowledgment | UAT |
|  | 9 | All Prod jobs are running without failures Any failures should be known issues and informed to Dev and not due to code changes | Prod |
|  | 10 | Upload LMS report feeds data to LMS | Prod |
|  | 11 | EOD summary reports are generated for all Business Areas | Prod |
|  | 12 | Control report 1-shows the monitoring of Limits data | Prod |
|  | 13 | Rates EOD report are generated for all 3 snaps of day | Prod |
|  | 14 | GES report is working as expected | Prod |
|  | 15 | CIRT drill down is opening for random desks | Prod |
|  | 16 | Any missing limits email or changes in Limit data | Prod/UAT |
|  |  |  |  |
| Monday/Tuesday | 1 | All Prod jobs are running without failures Any failures should be known issues and informed to Dev and not due to code changes | Prod |
|  | 2 | Upload LMS report feeds data to LMS and validate the data in attachments | Prod |
|  | 3 | EOD summary reports are generated for all Business Areas | Prod |
|  | 4 | Control report 1-shows the monitoring of Limits data | Prod |
|  | 5 | Rates EOD report are generated for all 3 snaps of day | Prod |
|  | 6 | GES report is working as expected | Prod |
|  | 7 | CIRT drill down is opening for random desks | Prod |
|  | 8 | 1-2 rounds of workflow and breach acknowledgment | UAT |
|  | 9 | Any missing limits email or changes in Limit data | Prod/UAT |

Thanks,

Ankita

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  
**From:** Pal, Ankita   
**Sent:** Friday, April 4, 2025 2:35 PM  
**To:** Rajendran, Aishvarya <[aishvarya.rajendran@bofa.com](mailto:aishvarya.rajendran@bofa.com)>; Perdoor jagadeesh bhat, Amulya <[amulya.perdoorjagadeeshbhat@bofa.com](mailto:amulya.perdoorjagadeeshbhat@bofa.com)>; Ramachandran, Sangeetha <[sramachandran3@bofa.com](mailto:sramachandran3@bofa.com)>  
**Cc:** M, Balaji K <[balaji\_k.m@bofa.com](mailto:balaji_k.m@bofa.com)>  
**Subject:** RE: QA & RM KT session

KT Summary for today’s session –

**Session 9**

1. QnA of workflow testing session
2. JIRA Overview
3. Horizon ticket details and workflow
4. Sections and compliance requirements
5. General, Regulatory and Test Plans, & Comment
6. External Testing Evidence details, Acceptance Criteria, Description and Test Scope
7. Test Evidence and Test Script Documentation Protocol and steps
8. Creation and Edit of JIRAs
9. QnA

**Checklist Summary**

**QA**

1. CFTC Team & Process – Completed
2. CFTC Framework  Quartz Framework and Tools Overview – Completed
3. Bob Monitor, QzDev, Sandra, AMPS – Completed
4. VTDs & Data sources  – Completed
5. End-to-end Workflow Testing, Brisk – Completed
6. CFTC Reports, and Gm inbox – Pending
7. Regression Testing & Test Cases, Edge Case scenarios – Pending
8. JIRA + ticket sections and types – Completed
9. Test Template Documentation and Review – Completed

**Release**

1. QZ release – Completed
2. Train release activities - timelines & Process – Pending
3. Build Preparation – Pending
4. Jira compliance & Error tracking – Pending

**KT Feedback:**

Progress so far is good, Amulya is picking up on the understanding of the CFTC processes quite well and able to independently carry out and end-to-end workflow testing for a sample VTD and connect the dots

Can assign a limits onboarding JIRA by next week to get further hands-on practice on everything discussed till date

Thanks,  
Ankita

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  
**From:** Rajendran, Aishvarya <[aishvarya.rajendran@bofa.com](mailto:aishvarya.rajendran@bofa.com)>   
**Sent:** Thursday, April 3, 2025 4:18 PM  
**To:** Pal, Ankita <[ankita.pal@bofa.com](mailto:ankita.pal@bofa.com)>; Perdoor jagadeesh bhat, Amulya <[amulya.perdoorjagadeeshbhat@bofa.com](mailto:amulya.perdoorjagadeeshbhat@bofa.com)>; Ramachandran, Sangeetha <[sramachandran3@bofa.com](mailto:sramachandran3@bofa.com)>  
**Cc:** M, Balaji K <[balaji\_k.m@bofa.com](mailto:balaji_k.m@bofa.com)>  
**Subject:** RE: QA & RM KT session

Hi Amulya,

**Session 8**

Summary of Today’s KT session

Topics covered:

1. QnA - Clarification of doubts based on CFTC workflow hands-on
2. Overview of Release manager Role & Responsibilities
3. Overview of QZ release2 tool

Thank you,

Aishvarya

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**From:** Pal, Ankita <[ankita.pal@bofa.com](mailto:ankita.pal@bofa.com)>   
**Sent:** Wednesday, April 2, 2025 2:39 PM  
**To:** Perdoor jagadeesh bhat, Amulya <[amulya.perdoorjagadeeshbhat@bofa.com](mailto:amulya.perdoorjagadeeshbhat@bofa.com)>; Ramachandran, Sangeetha <[sramachandran3@bofa.com](mailto:sramachandran3@bofa.com)>; Rajendran, Aishvarya <[aishvarya.rajendran@bofa.com](mailto:aishvarya.rajendran@bofa.com)>  
**Subject:** RE: QA KT session

Hi Amulya,

**Session 7**

PFA doc for end-to-end workflow testing for one of the VTDs onboarded in the last release.

<< File: emea ssg distressed.docx >>

Please reach out if any queries.

Thanks,

Ankita

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  
**From:** Pal, Ankita   
**Sent:** Tuesday, April 1, 2025 11:45 AM  
**To:** Perdoor jagadeesh bhat, Amulya <[amulya.perdoorjagadeeshbhat@bofa.com](mailto:amulya.perdoorjagadeeshbhat@bofa.com)>; Ramachandran, Sangeetha <[sramachandran3@bofa.com](mailto:sramachandran3@bofa.com)>; Rajendran, Aishvarya <[aishvarya.rajendran@bofa.com](mailto:aishvarya.rajendran@bofa.com)>  
**Subject:** RE: QA KT session

Hi Amulya,

Summaries of last week’s KT sessions –

**Session 5**

1. Bob Monitor Overview
2. Scheduler, COB date
3. Types of bob jobs
4. Bob job controls
5. Logs
6. QnA

**Session 6**

1. QzDev Overview
2. Outline, VC, Browse, CellFn
3. Shell, Search, Debug, Tickets, Reviews
4. Staging Areas
5. Files and types
6. Path, User and PS
7. Commit and Raise RR
8. QnA

Please let know if any doubts from prev sessions today else can continue with and the workflow testing of a VTD

Thanks,

Ankita

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**From:** Pal, Ankita   
**Sent:** Wednesday, March 26, 2025 1:19 PM  
**To:** Perdoor jagadeesh bhat, Amulya <[amulya.perdoorjagadeeshbhat@bofa.com](mailto:amulya.perdoorjagadeeshbhat@bofa.com)>; Ramachandran, Sangeetha <[sramachandran3@bofa.com](mailto:sramachandran3@bofa.com)>; Rajendran, Aishvarya <[aishvarya.rajendran@bofa.com](mailto:aishvarya.rajendran@bofa.com)>  
**Subject:** RE: QA KT session

Hi Amulya,

Session 4

**Summary of yesterday’s KT –**

1. Limit data sets and values
2. Email alerts and DB paths
3. Quartz Framework and QZ Overview
4. Sandra DB, Directories, PROD and UAT paths
5. Snap objects and timestamps, DB contents
6. Bob Monitor, Regex, COB date, Bob jobs
7. Limits job run and alerts
8. QnA

**Additional Notes –**

SANDRA PATH:

Db:ficc\_risk\_amrs\_uat

Limits path:

/Applications/RemoteRisk/CFTC/Limits/UAT/20241001

Exposures path:

/Applications/RemoteRisk/CFTC/Exposures/UAT/20241001

Bob job:

Limits job:

2024-10-01:/uat/core/remoterisk\_services/cftc/cftc\_limits

PFA files and deck shared during sessions for easy reference.

<< File: CFTC Testing.docx >> << File: CFTC Overview Workflow Process - v3.pptx >>

Thanks,

Ankita

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**From:** Pal, Ankita   
**Sent:** Monday, March 24, 2025 5:21 PM  
**To:** Perdoor jagadeesh bhat, Amulya <[amulya.perdoorjagadeeshbhat@bofa.com](mailto:amulya.perdoorjagadeeshbhat@bofa.com)>; Ramachandran, Sangeetha <[sramachandran3@bofa.com](mailto:sramachandran3@bofa.com)>; Rajendran, Aishvarya <[aishvarya.rajendran@bofa.com](mailto:aishvarya.rajendran@bofa.com)>  
**Subject:** RE: QA KT session

Hi Amulya,

Session 3

**Summary of today’s KT –**

1. CFTC Workflow
2. LMS and LOB Architecture
3. Functional Overview
4. Breach and No Breach
5. Utilization Calculation
6. Volcker Trading Desks
7. Alerts generated

Thanks,

Ankita

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  
**From:** Pal, Ankita   
**Sent:** Friday, March 21, 2025 3:35 PM  
**To:** Perdoor jagadeesh bhat, Amulya <[amulya.perdoorjagadeeshbhat@bofa.com](mailto:amulya.perdoorjagadeeshbhat@bofa.com)>; Ramachandran, Sangeetha <[sramachandran3@bofa.com](mailto:sramachandran3@bofa.com)>; Rajendran, Aishvarya <[aishvarya.rajendran@bofa.com](mailto:aishvarya.rajendran@bofa.com)>  
**Subject:** RE: QA KT session

Hi Amulya,

Session 2

**Summary of today’s KT –**

1. Brief of CFTC
2. Limits and Types
3. Quartz Tool and JIRA groups accesses

Kindly raise access for Quartz QzDesktop 2.0 here – [Quartz QZDesktop 2.0 004](https://mytechnology.bankofamerica.com/dwp/app/#/itemprofile/106476)

Please raise ARM for FICCRISK horizon groups here (will attach sample ARM when I find) –

|  |  |  |  |
| --- | --- | --- | --- |
| **Request Tool** | **Application /Request Title** | **Request Steps** | **Tool Link** |
| ARM Request | Groups access | 1. Request -> Modify  2. Platform -> Windows Primary /Standard ID  3. Add below highlighted groups in UNIX\_ENABLED\_GROUPS  << OLE Object: Picture (Device Independent Bitmap) >>  Eg: [ARM - Administrator Workflow Details (bankofamerica.com)](https://arm.bankofamerica.com/Admin_Workflow_Details.aspx?screen=quickview&TicketNumber=51813296&GroupTicketNo=34499680) | [http://arm.bankofamerica.com](http://arm.bankofamerica.com/) |
|  |  |

Thanks,

Ankita

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**From:** Pal, Ankita   
**Sent:** Thursday, March 20, 2025 3:21 PM  
**To:** Perdoor jagadeesh bhat, Amulya <[amulya.perdoorjagadeeshbhat@bofa.com](mailto:amulya.perdoorjagadeeshbhat@bofa.com)>; Ramachandran, Sangeetha <[sramachandran3@bofa.com](mailto:sramachandran3@bofa.com)>; Rajendran, Aishvarya <[aishvarya.rajendran@bofa.com](mailto:aishvarya.rajendran@bofa.com)>  
**Subject:** RE: QA KT session

Hi Amulya,

Session 1

**Summary of today’s KT –**

1. CFTC Team Background and Global Leads
2. Agile and Scrum Processes
3. Team Meetings and Discussions
4. Timings and Communication
5. Lower-lane environments
6. Inbox folders and rules
7. QnA

Thanks,

Ankita

-----Original Appointment-----  
**From:** Ramachandran, Sangeetha <[sramachandran3@bofa.com](mailto:sramachandran3@bofa.com)>   
**Sent:** Wednesday, March 19, 2025 7:01 PM  
**To:** Ramachandran, Sangeetha; Pal, Ankita; Rajendran, Aishvarya; Perdoor jagadeesh bhat, Amulya  
**Subject:** QA KT session  
**When:** Thursday, March 20, 2025 2:00 PM-2:30 PM (UTC+05:30) Chennai, Kolkata, Mumbai, New Delhi.  
**Where:** <https://goto.webex.com/meet/pr9ei082w73553>

